

-1-

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Angela T. Hui et al.

Serial No.: Not Yet Assigned

Filed: (herewith)

Group Art Unit: Not Yet Assigned

Title: AVOIDING FIELD OXIDE GOUGING IN SHALLOW TRENCH
ISOLATION (STI) REGIONS

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the U.S. Patents and pending patent applications, for which no copies are being submitted.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorneys for Applicants

By: 

Robert A. Voigt, Jr.

Reg. No. 47,159

Kelly K. Kordzik

Reg. No. 36,571

P.O. Box 50784
Dallas, Texas 75201
(512) 370-2832

AUSTIN_1\245551\1
184-P079US

In Place of FORM PTO-1449 (Modified)

Serial No.: Not Yet Assigned
Applicants: Angela T. Hui et al.
Filing Date: (herewith)
Group: Not Yet Assigned
Atty. Docket No.: AF01158

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANTS' INFORMATION DISCLOSURE
STATEMENT**

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
____AAA	5,747,377	05/05/98	Wu	438	444	
____ABA	6,033,969	03/07/00	Yoo et al.	438	425	
____ACA	6,074,927	06/13/00	Kepler et al.	438	400	
____ADA	6,146,975	11/14/00	Kuehne et al.	438	437	
____AEA	6,218,265	04/17/01	Colpani	438	424	
____AFA	6,410,405	06/25/02	Park	438	431	
____AGA	6,468,853	10/22/02	Balasubramanian et al.	438	221	
____AHA	6,548,374	04/15/03	Chung	438	424	
____AIA	6,613,649	09/02/03	Lim et al.	438	435	
____AJA						
____AKA						
____ALA						
____AMA						
____ANA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
____AOA						
____APA						
____AQA						
____ARA						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner
Initial

____ASA
____ATA
____AUA

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.